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PTO/SB/08A (12-07) Approved for use through 12/31/2007, OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRAD	Substit	ute for form	1449/PTO			Complete if Known		
TAB	MIC				Application Number	10/779,331		
			N DISCLO		Filing Date	February 13, 2004		
	STAT	TEMENT	BY APPL	ICANT	First Named Inventor	Don Hannula		
,	(Use	as many sh	eets as nec	essary)	Art Unit	3765		
					Examiner Name	Worrell Jr. Larry D.		
	Sheet	1	of	13	Attorney Docket Number	TYHC:0128/FLE/BAK (P0402S-01A) (009103-019511US)		

			U.S.	PATENT DOC	CUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (if known)}		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	US-	H1039	04-07-1992	Tripp Jr. et al.	
	A2	US-	RE 36,000	12-22-1998	Swedlow et al.	
	A3	US-	4,570,638	02-18-1986	Stoddart et al.	
-	A4	US-	4,775,116	10-04-1998	Klein	
	A5	US-	4,784,162	11-15-1988	Ricks et al.	
	A6	US-	4,802,485	02-07-1989	Bowers et al.	
	A7	US-	4,825,872	05-02-1989	Tan et al.	
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	A9	US-	4,838,279	06-13-1989	Fore	
	A10	US-	4,890,619	01-02-1990	Hatschek	
	A11	US-	4,930,888	06-05-1990	Feisleben et al.	
	A12	US-	4,942,877	07-24-1990	Sakai et al.	
	A13	US-	4,972,331	11-20-1990	Chance	
	A14	US-	4,996,975	03-05-1991	Nakamura	
	A15	US-	5,054,488	10-08-1991	Muz	
	A16	US-	5,080,096	01-14-1992	Hooper et al.	
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	A18	US-	5,122,974	06-16-1992	Chance	
	A19	US-	5,125,403	06-30-1992	Culp	
	A20	US-	5,167,230	12-01-1992	Chance	<u> </u>
	A21	US-	5,188,108	02-23-1993	Secker	
	A22	US-	5,191,891	05-09-1993	Righter	
	A23	US-	5,209,230	05-11-1993	Swedlow et al.	
	A24	US-	5,214,409	05-25-1993	Beigel	
	A25	US-	5,217,012	06-08-1993	Young et al.	
	A26	US-	5,241,300	08-31-1993	Buschmann	
	A27	US-	5,246,003	09-21-1993	DeLonzor	
	A28	US-	5,253,645	10-19-1993	Friedman et al.	
	A29	US-	5,267,567	12-07-1993	Aung et al.	
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	A31	US-	5,313,940	05-24-1994	Fuse et al.	
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Examiner		Date	
Signature	/Danny Worrell/ (02/02/2009)	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, which is the patent document by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, which is the patent document by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, which is the patent document by the patent do the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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(009103-019511US)

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	INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	10/779,331		
INF			Filing Date	February 13, 2004		
STA			First Named Inventor	Don Hannula		
(Use			Art Unit	3765		
				Examiner Name	Worrell Jr. Larry D.	
		_	1	Att Ballation	TYHC:0128/FLE/BAK (P0402S-01A)	

Attorney Docket Number

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	A36	US-	5,357,953	10-25-1994	Merrick et al.	
*	A37	US-	5,368,025	11-29-1994	Young et al.	
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	A39	US-	5,383,874	01-24-1995	Jackson et al.	
	A40	US-	5,398,689	03-21-1995	Conner et al.	
	A41	US-	5,400,267	03-21-1995	Denen et al.	
	A42	US-	5,405,269	04-11-1995	Stupecky	
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	A57	US-	5,546,955	08-20-1996	Wilk	
	A58	US-	5,551,423	09-03-1996	Sugiura	
- ***	A59	US-	5,562,718	10-08-1996	Palermo	
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	A61	US-	5,564,417	10-15-1996	Chance	
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	A63	US-	5,592,408	01-07-1997	Keskin et al.	

Examiner	(Danny Marralli (22/22/2022)	Date	
Signature	/Danny Worrell/ (02/02/2009)	Considered	

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Subst	itute for form	1449/PTO		Complete if Known		
			Application Number	10/779,331		
INFORMATION DISCLOSURE			SURE	Filing Date	February 13, 2004	
STA	STATEMENT BY APPLICANT			First Named Inventor	Don Hannula	
(Use	(Use as many sheets as necessary)		Art Unit	3765		
				Examiner Name	Worrell Jr. Larry D.	
Sheet	3	of	13	Attorney Docket Number	TYHC:0128/FLE/BAK (P0402S-01A) (009103-019511US)	

			U.S.	PATENT DOC	CUMENTS	
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	A64 .	US-	5,596,987	01-28-1997	Chance	
	A65	US-	5,617,865	04-08-1997	Palczewska et al.	
	A66	US-	5,617,866	04-08-1997	Marian, Jr.	
	A67	US-	5,627,323	05-06-1997	Stern	
	A68	US-	5,634,466	06-03-1997	Gruner	
	A69	US-	5,638,593	06-17-1997	Gerhardt et al.	
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	A72	US-	5,645,440	07-18-1997	Tobler et al.	
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	A75	US-	5,671,750	09-30-1997	Shinoda	
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	A77	US-	5,678,544	10-21-1997	DeLonzor et al.	
	A78	US-	5,681,285	10-28-1997	Ford et al.	
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	A80	US-	5,697,363	12-16-1997	Hart	•
	A81	US-	5,701,894	12-30-1997	Cherry et al.	
	A82	US-	5,706,820	01-13-1998	Hossack et al.	
	A83	US-	5,738,612	04-14-1998	Tsuda	
	A84	US-	5,743,856	04-28-1998	Oka et al.	
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	A89	US-	5,772,601	06-30-1998	Oka et al.	
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	A96	US-	5,791,347	08-11-1998	Flaherty et al.	

Examiner	/Danny Worrell/ (02/02/2009)	Date
Signature	/Danny Worrell/ (02/02/2009)	Considered

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	A97	US- 5,791	,348	08-11-1998	Aung et al.	
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	A100	US- 5,810),724	09-22-1998	Gronvall	
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	A113	US- 5,857	7,974	01-12-1999	Eberle et al.	
	A114	US- 5,860		01-19-1999	Goto et al.	
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	A116	US- 5,868		02-09-1999	DeVries et al.	
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·	A118	US- 5,872	2,713	02-16-1999	Douglas et al.	
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	A123	US- 5,906		05-25-1999	Tsuda	
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	A166	US- 6,084,380	07-04-2000	Burton			
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Subst	titute for form	1449/PTO		Complete if Known				
				Application Number	10/779,331			
INFORMATION DISCLOSURE			SURE	Filing Date	February 13, 2004			
STA	STATEMENT BY APPLICANT			ATEMENT BY APPLICANT First Named Inventor		First Named Inventor	Don Hannula	
(Use	(Use as many sheets as necessary)			Art Unit	3765			
				Examiner Name	Worrell Jr. Larry D.			
Sheet	6	of	13	Attorney Docket Number	TYHC:0128/FLE/BAK (P0402S-01A) (009103-019511US)			

	U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (If known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
	A170	US- 6,112,107	08-29-2000	Hannula				
	A171	US- 6,115,621	09-05-2000	Chin				
	A172	US- 6,118,382	09-12-2000	Hibbs et al.				
	A173	US- 6,134,459	10-17-2000	Roberts et al.				
ve.	A174	US- 6,144,868	11-07-2000	Parker				
	A175	US- 6,149,481	11-21-2000	Wang et al.				
	A176	US- 6,152,754	11-28-2000	.Gerhardt et al.				
	A177	US- 6,154,667	11-28-2000	Miura et al.				
	A178	US- 6,162,188	12-19-2000	Barnea				
	A179	US- 6,165,173	12-26-2000	Kamdar et al.				
	A180	US- 6,171,258	01-09-2001	· Katakasoglu et al.				
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	A183	US- 6,184,521	02-06-2001	Coffin, IV et al.				
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Examiner	(5) 141 111 (00 (00 10 000)	Date
Signature	/Danny Worrell/ (02/02/2009)	Considered

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Substitute for form 1449/PTO Complete if Known 10/779,331 **Application Number INFORMATION DISCLOSURE** February 13, 2004 Filing Date STATEMENT BY APPLICANT First Named Inventor Don Hannula (Use as many sheets as necessary) Art Unit 3765 **Examiner Name** Worrell Jr. Larry D. TYHC:0128/FLE/BAK (P0402S-01A) 7 Sheet of 13 Attorney Docket Number (009103-019511US)

			U.S.	PATENT DOC	CUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (if known)}		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	A201	US-	6,282,450	08-28-2001	Hartlaub et al.	
	A202	US-	6,283,922	09-04-2001	Goto et al.	
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	A204	US-	6,306,076	10-23-2001	Gill	
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	A206	US-	6,321,100	11-20-2001	Parker	
	A207	US-	6,322,516	11-27-2001	Masuda et al.	
	A208	US-	6,343,223	01-29-2002	Chin et al.	
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	A230	US-	6,450,168	09-17-2002	Nguyen	
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				Application Number	10/779,331	
INFORMATION DISCLOSURE			SURE	Filing Date	February 13, 2004	
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(Use			Use as many sheets as necessary) Art Unit		Art Unit	3765
				Examiner Name	Worrell Jr. Larry D.	
Sheet	8	of	13	Attorney Docket Number	TYHC:0128/FLE/BAK (P0402S-01A) (009103-019511US)	

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	A232	US- 6,450,98		09-17-2002	Shabty et al.			
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	A234	US- 6,461,305	5	10-08-2002	Schnall			
	A235	US- 6,463,310)	10-08-2002	Swedlow et al.			
	A236	US- 6,466,809	9	10-15-2002	Riley			
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	A238	US- 6,470,199	9	10-22-2002	Kopotic et al.			
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	A240	US- 6,480,762	2	11-12-2002	Uchikubo et al.			
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	A243	US- 6,503,08°	7	01-07-2003	Eggert et al.			
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	A246	US- 6,511,47	8	01-28-2003	Burnside et al.			
	A247	US- 6,516,289	9	02-04-2003	David			
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	A250	US- 6,525,38	6	02-25-2003	Mills et al.			
	A251	US- 6,526,30	9	02-25-2003	Chance			
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	A253	US- 6,527,72	5	03-04-2003	Inuaki et al.			
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	A255	US- 6,535,76	5	03-18-2003	Amely-Velez et al.			
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	A262	US-	6,553,242	04-22-2003	Sarussi	
	A263	US-	6,575,902	06-10-2003	Burton	
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., .	A269	US-	6,589,171	07-08-2003	Keirsbilck	
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	A294	US-	2001/0000790	05-03-2001	DeLonzor et al.	Activities 1 - Series 1 - FF - 1
	A295	US-	2001/0009398	07-26-2001	Sekura et al.	
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	A324	US-	2003/0144579	07-31-2003	Buss	
	A325	US-	2003/0156288	08/21/2003	Barnum et al.	

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Substitute for form 1449/PTO Complete if Known **Application Number** 10/779,331 **INFORMATION DISCLOSURE** Filing Date February 13, 2004 STATEMENT BY APPLICANT First Named Inventor Don Hannula (Use as many sheets as necessary) 3765 Art Unit Worrell Jr. Larry D. **Examiner Name** TYHC:0128/FLE/BAK (P0402S-01A) Sheet 11 of 13 Attorney Docket Number (009103-019511US)

		U.S	. PATENT DOC	CUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (if known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or
		14umber-Kind Code			Relevant Figures Appear
	A326	US- 2003/0176810	09-18-2003	Maahs et al.	
	A327	US- 2003/0189492	10-09-2003	Harvie	
	A328	US- 2003/0216728	11-20-2003	Stern et al.	
	A329	US- 2004/0002655	01-01-2004	Bolorforosh et al.	
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	A332	US- 2004/0044545	03-04-2004	Wiesmann et al.	
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	A336	US-			
	A337	US-			
	A338	US-			
	A339	US-			
	A340	US-			

Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns,	
Initials*	No.1	Country Code ³⁻ Number ⁴⁻ Kind Code ^{5(if known)}	Date MM-DD-YYYY	Applicant of Cited Document	Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	B1	CN1306260	08-01-2001	Weigang et al.		\Box
	B2	DE 10029205	01-10-2002	IFU GMBH		
	В3	DE 19939302	05-03-2001	Kaeuffert UWE		
-	B4	DE 19541605	05-15-1997	Hewlett-Packard Co.		
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	B6	DE 3744781	01-19-1989	Guenter et al.		
	B7	DE 3810411	10-12-1989	Nicolay GmbH		
	B8	DE 3927038	02-21-1991	Juergen		
	B9	DE 4429845	10-19-1995	Hewlett Packard		
	B10	EP 0631756	01-04-1995	Hamamatsu Photonics		
	B11	EP 1048323	02-11-2000	Medtronic Inc.		
	B12	EP 1130412	05-09-2001	Marconi Medical		
	B13	EP 578530	01-12-1994	Ela Medical		

Examiner	(Dame: Marrall/ (00/00/0000)	Date
Signature	. /Danny Worrell/ (02/02/2009)	Considered

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Subst	tute for form	1449/PTO		Complete if Known		
	INFORMATION DISCLOSURE			Application Number	10/779,331	
INFO				Filing Date	February 13, 2004	
STA	TEMENT	BY APPL	ICANT	First Named Inventor Don Hannula		
(Use	as many si	neets as nec	essary)	Art Unit	3765	
				Examiner Name	Worrell Jr. Larry D.	
Sheet	12	of	13	Attorney Docket Number	TYHC:0128/FLE/BAK (P0402S-01A) (009103-019511US)	

Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or Applicant	Pages, Columns,	
Initials*	No.1		Date	of Cited Document	Lines, Where	1
		Average 1 Stiftmourn	MM-DD-YYYY		Relevant	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ^{5(if known)}			Passages or Relevant	
					Figures Appear	
	B14	EP 665025	08-02-1995	Amano		
	B15	EP 775311	08-18-1994	Roche Diagnostics		\Box
	B16	EP 0313238	04-26-1989	Critikon Inc.		
	B17	EP 0572684	12-08-1993	Hewlett-Packard Co.		
	B18	EP 0573137	12-08-1993	Alza Corporation		
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	B35	JP 09154937	06-17-1997	Atom Medical		
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	B44	JP 08336546	12-24-1996	Morita MFG		1
1	B45	JP 09010319	01-14-1997	Brother IND		\perp

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Substitute for form 1449/PTO Complete if Known **Application Number** 10/779,331 INFORMATION DISCLOSURE Filing Date February 13, 2004 STATEMENT BY APPLICANT First Named Inventor Don Hannula (Use as many sheets as necessary) **Art Unit** 3765 Worrell Jr. Larry D. **Examiner Name** TYHC:0128/FLE/BAK (P0402S-01A) Sheet 13 of 13 Attorney Docket Number (009103-019511US)

Examiner	Cite No. 1	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns,	
Initials*	No.		Date MM-DD-YYYY	Applicant of Cited Document	Lines, Where Relevant Passages	T6
		Country Code ³⁻ Number ⁴⁻ Kind Code ^{5(if known)}	MINI-DD-1111	Document	or Relevant	*
					Figures Appear	
	B46	JP 2000/189440	07-11-2000	Tokai Rika		
	B47	JP 2001/161648	06-19-2001	Olympus Optical		
	B48	JP 2001/190498	07-17-2001	Olympus Optical		
	B49	JP 2001/308576	11-02-2001	Olympus Optical		
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	B59	WO 2002/15784	02-28-2002	Platt et al.		1
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	B61	WO 2003/026558	04-03-2003	Scott Laboratories		
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	B66	WO 2066977	08-29-2002	Masimo Corporation		
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	B68	WO 9115151	10-17-1991	Nellcor Incorporated		
	B69	WO 9118550	12-12-1991	Mathews		
	B70	WO 9220273	11-26-1992	Chance		
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	B72	WO 9616591	06-06-1996	Aihonen et al.		
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	B75	WO 9720497	06-12-1997	Nellcor Incorporated		
	B76	WO 9963883	12-16-1999	Sarussi		
	B77					
	B78					

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				First Named Inventor	Don Hannula
(Use as many sheets as necessary			essary	Art Unit	3765
				Examiner Name	Larry D. Worrell, Jr.
Sheet	1	of	1	Attorney Docket Number	TYHC:0128/FLE/BAK (P0402S-01A)

	NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²			
	C1	Letter dated May 13, 2003 to Howard Alhanati (Custom Fab Inc.) from Joe Coakley (TYCO Healthcare Nellcor); 1p.				
	C2	NELLCOR PURITAN BENNETT "Specification, Headband, Posey, Maxfast" 04/04/2002; 1p.				
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/Danny Worrell/ (02/02/2009)